

NOETHER–LEFSCHETZ CYCLES

OLIVIER DEBARRE

CONTENTS

1. Period domains	1
1.1. Period domains and their arithmetic quotients	1
1.2. Motivation: hyperkähler varieties	1
2. Noether–Lefschetz cycles	3
2.1. Noether–Lefschetz divisors	3
2.2. Noether–Lefschetz cycles	3
2.3. Lattice polarized hyperkähler varieties	3
2.4. Cohomology classes of subvarieties of Shimura varieties	4
2.5. Noether–Lefschetz divisors revisited	4
Appendix A. Groups of lattice isometries	6
Appendix B. Known examples of hyperkähler varieties	6
B.1. K3 surfaces	6
B.2. Hyperkähler varieties of $K3^{[m]}$ -type, $m \geq 2$	7
B.3. Hyperkähler varieties of Kum $^{[m]}$ -type, $m \geq 2$	7
B.4. Hyperkähler varieties of OG10-type	7
B.5. Hyperkähler varieties of OG6-type	7
References	7

1. PERIOD DOMAINS

1.1. Period domains and their arithmetic quotients. The general setup is the following. Let Λ be a lattice with signature $(2, s)$, with $s > 0$, and consider the *period domain*¹

$$\begin{aligned} D_\Lambda &:= \{[\omega] \in \mathbf{P}(\Lambda_{\mathbf{C}}) \mid \omega^2 = 0, \omega \cdot \bar{\omega} > 0\} \\ &= \{\text{oriented positive 2-planes in } \Lambda_{\mathbf{R}}\} \\ &= \mathrm{SO}(2, s) / \mathrm{SO}(2) \times \mathrm{SO}(s) \end{aligned}$$

Date: November 14, 2020.

This project has received funding from the European Research Council (ERC) under the European Union’s Horizon 2020 research and innovation programme (Project HyperK — grant agreement 854361).

¹It is denoted by \widehat{D} in [BLMM, Section 2.3].

where the bijection between the first and the second lines is given by sending $[\omega]$ to the real oriented positive 2-plane spanned by $\operatorname{Re}(\omega)$ and $\operatorname{Im}(\omega)$. It has two isomorphic components (corresponding to the two components of the group $\operatorname{SO}(2, s)$) which are Hermitian symmetric domains of dimension s . The stabilizer of each component is $\operatorname{SO}^+(2, s)$ (see Appendix A).

Any subgroup Γ of finite index of the isometry group $\operatorname{O}(\Lambda)$ (an *arithmetic group*) acts properly and discontinuously on D_Λ and, by the Baily–Borel theory, the quotient D_Λ/Γ is a quasi-projective algebraic variety of dimension s with a canonical (projective) compactification $(D_\Lambda/\Gamma)^{BB}$ obtained by adding boundary components of dimensions at most 1. The line bundle $\mathcal{O}(1)$ on $D_\Lambda \subset \mathbf{P}(\Lambda_{\mathbf{C}})$ descends to a line bundle on D_Λ/Γ which is ample; it is the dual of the *Hodge line bundle*.

On the arithmetic side, D_Λ/Γ is the complexification of an *orthogonal Shimura variety* which is defined over \mathbf{Q} ([B, § 1.3 and 1.4]).

We let $\operatorname{O}^+(\Lambda)$ be the stabilizer of either component of D_Λ . It is a subgroup of index 2 of $\operatorname{O}(\Lambda)$ which is the kernel of the spinor norm (see Appendix A). For an element of $\operatorname{SO}(\Lambda)$, being in $\operatorname{SO}^+(\Lambda)$ means preserving the orientation on one (hence any) positive 2-plane.

1.2. Motivation: hyperkähler varieties. Why are algebraic geometers interested in the material presented so far? It is because these quotients D_Λ/Γ appear as target spaces of period maps for certain algebraic varieties.

This is the case for polarized hyperkähler varieties. These are pairs (X, H) , where H is a primitive ample line bundle on the (smooth projective) hyperkähler variety X . They admit a moduli space/stack, each component of which is quasi-projective.

1.2.1. Moduli spaces. The free abelian group $H^2(X, \mathbf{Z})$ is endowed with canonical quadratic form q_X (the Beauville–Bogomolov–Fujiki form) which makes it into a lattice with signature $(3, b_2(X) - 3)$. We will consider hyperkähler varieties of a fixed deformation type. All their lattices $(H^2(X, \mathbf{Z}), q_X)$ are then isomorphic to the same lattice Λ . We pick a primitive class $h \in \Lambda$ with $h^2 > 0$ and consider pairs (X, H) as above for which there is an isometry $(H^2(X, \mathbf{Z}), q_X) \simeq \Lambda$ that takes H to h . The corresponding moduli stack is then a smooth Deligne–Mumford stack that can be coarsely represented by a quasi-projective variety $\mathcal{F}_{\Lambda, h}$.² It only depends on the $\operatorname{O}(\Lambda)$ -orbit T of h (called a *polarization type*) and may have several connected components.

1.2.2. Period maps. Via the isometry $(H^2(X, \mathbf{Z}), q_X) \simeq \Lambda$, the period of X , that is, the point $[H^{2,0}(X)]$ of $\mathbf{P}(H^2(X, \mathbf{C}))$, lands into

$$(1) \quad D_{\Lambda, h} := D_{h^\perp} = \{[\omega] \in D_\Lambda \mid \omega \cdot h = 0\},$$

a lattice that only depends (up to isomorphism) on the polarization type T . The lattice h^\perp has signature $(2, b_2(X) - 3)$ and the discussion of Section 1.1 applies: we obtain an *algebraic*³ period map

$$\mathcal{F}_{\Lambda, T} \longrightarrow D_{\Lambda, h} / \operatorname{O}(\Lambda, h),$$

where $\operatorname{O}(\Lambda, h) \subset \operatorname{O}(h^\perp)$ is the image of the injective restriction morphism

$$\{g \in \operatorname{O}(\Lambda) \mid g(h) = h\} \hookrightarrow \operatorname{O}(h^\perp), \quad g \longmapsto g|_{h^\perp}.$$

²It is explained in [B, § 1.2] that for K3 surfaces, $\mathcal{F}_{\Lambda, h}$ is defined over \mathbf{Q} (and even over $\mathbf{Z}[\frac{1}{2}]$). I do not know whether this still holds for general hyperkähler varieties.

³For K3 surfaces, it is defined over \mathbf{Q} , and even over $\mathbf{Z}[\frac{1}{2}]$ (see [B, § 1.5]).

Remark 1.1. In all known cases (see Appendix B), the lattice Λ is even and has the form

$$(2) \quad (\text{unimodular lattice}) \oplus U^{\oplus 3} \oplus L,$$

where U is the (unimodular) hyperbolic plane and L has rank 1 or 2. A theorem of Eichler then says that the $\widetilde{\text{O}}(\Lambda)$ -orbit of a primitive element $h \in \Lambda$ only depends on h^2 and the element $h_* := h/\text{div}(h)$ of $D(\Lambda) = D(L)$, where $\text{div}(h)$ (the *divisibility* of h) is the positive generator of the subgroup $h \cdot \Lambda$ of \mathbf{Z} .

Moreover, the quadratic form of Λ induces a $\mathbf{Q}/2\mathbf{Z}$ -valued quadratic form on $D(\Lambda)$ and the map $\pi: \text{O}(\Lambda) \rightarrow \text{O}(D(\Lambda)) = \text{O}(D(L))$ considered earlier is surjective ([N, Remark 1.14.3]). So the polarization types are determined by h^2 (the *degree*) and the $\text{O}(D(\Lambda))$ -orbits in $D(\Lambda)$. For K3 surfaces, Λ is unimodular and polarization types are determined by their (even positive) degree. For hyperkähler varieties of $K3^{[m]}$ -type, where $m - 1$ is a power of a prime, there are m distinct polarization types of a given degree.

1.2.3. *Monodromy groups.* Markman defined the *monodromy group* of X as the subgroup

$$(3) \quad \text{Mon}(X) \subset \text{O}(H^2(X, \mathbf{Z}), q_X)$$

generated by all monodromies for all families of deformations of X . Note that it contains all automorphisms of all deformations of X (take for the base of the deformation a nodal curve). Verbitsky proved that it has finite index and Markman that it is contained in $\text{O}^+(H^2(X, \mathbf{Z}), q_X)$. One also defines

$$\text{Mon}(X, H) := \text{Mon}(X) \cap \text{O}(H^2(X, \mathbf{Z}), H)$$

as the subgroup that stabilizes the polarization H .

If one picks a connected \mathcal{F} component $\mathcal{F}_{\Lambda, h}^0$ of the moduli space $\mathcal{F}_{\Lambda, h}$, the groups $\text{Mon}(X)$, when $[X]$ describes the set of hyperkähler varieties parametrized by $\mathcal{F}_{\Lambda, h}^0$, can be identified with a subgroup $\text{Mon}^0(\Lambda)$ of $\text{O}(\Lambda)$ which may depend on the choice of the component. The Torelli theorem, proved by Verbitsky, then states that the period maps induces an open embedding ([M2, Lemma 8.1])

$$(4) \quad \mathcal{F}_{\Lambda, h}^0 \hookrightarrow D_{\Lambda, h} / \text{Mon}^0(\Lambda, h).$$

When $\text{Mon}(X)$ is normal in $\text{O}(H^2(X, \mathbf{Z}), q_X)$ (which happens in all known cases, see Appendix B), the group $\text{Mon}^0(\Lambda)$ is independent of the choices and we write it as $\text{Mon}(\Lambda)$.

2. NOETHER–LEFSCHETZ CYCLES

2.1. **Noether–Lefschetz divisors.** Let (X, H) be a polarized hyperkähler variety with period $[\omega_X] \in \mathbf{P}(H^2(X, \mathbf{C}))$. By the Lefschetz (1, 1)-theorem, its Picard group is isomorphic to $H^2(X, \mathbf{Z}) \cap \omega_X^\perp$. It has signature $(1, \rho - 1)$. The Noether–Lefschetz locus is the locus (in the moduli space $\mathcal{F}_{\Lambda, h}$) where the rank ρ of this group is at least 2.

In the period domain $D_{\Lambda, h}$, this can be translated into purely algebraic terms: for any $x \in h^\perp$, set

$$(5) \quad D_x := D_{\Lambda, h} \cap x^\perp.$$

When $x^2 < 0$, it is an irreducible hypersurface, which is nothing else than the period domain $D_{h^\perp \cap x^\perp}$. The Noether–Lefschetz locus in $D_{\Lambda, h}$ is then the countable union $\bigcup_{x \in \Lambda, x^2 < 0} D_x$.

Let $\Gamma \subset \mathrm{O}(\Lambda, h)$ be an arithmetic subgroup. The image in the Shimura variety $Y_\Gamma := D_{\Lambda, h}/\Gamma$ of this locus is then

$$\bigcup_{x \in \Lambda, x^2 < 0} D_x/\Gamma_x$$

where Γ_x is the stabilizer of D_x in Γ . This is a countable union of hypersurfaces which we call *Noether–Lefschetz divisors*.

When $\Gamma = \mathrm{Mon}^0(\Lambda, h)$, the trace on $\mathcal{F}_{\Lambda, h}^0$ (via the period map (4)) is the Noether–Lefschetz locus as defined above.

One of the main results of [BLMM] is that when $s \geq 3$, Noether–Lefschetz divisors generate (over \mathbf{Q}) the Picard group of the Shimura variety Y_Γ (and of $\mathcal{F}_{\Lambda, h}$).

2.2. Noether–Lefschetz cycles. One can generalize the above discussion to r -cycles. Geometrically, this corresponding to looking at hyperkähler varieties with Picard number $\geq r + 1$.

Arithmetically, this corresponds to replacing x by an r -dimensional subspace of $h_{\mathbf{Q}}^\perp$ (see [BLMM, Section 2.6]; the terminology there is *special cycles*).

2.3. Lattice polarized hyperkähler varieties. Fix a primitive embedding $\Sigma \hookrightarrow \Lambda$ of a lattice of signature $(1, \mathrm{rank}(\Sigma) - 1)$ and a primitive class $h \in \Sigma$ such that $h^2 > 0$. Following Dolgachev and Camere, one may consider (Σ, h) -polarized hyperkähler varieties (briefly, one considers polarized hyperkähler varieties (X, H) with an embedding $\Sigma \hookrightarrow \mathrm{Pic}(X)$ that maps h to H ; see the discussion in [BL, Section 3.7]).

There is again a quasi-projective moduli space $\mathcal{F}_{\Lambda, \Sigma, h}$ for these, with a forgetful map $\mathcal{F}_{\Lambda, \Sigma, h} \rightarrow \mathcal{F}_{\Lambda, h}$. The period domain is D_{Σ^\perp} and there is a period map $\mathcal{F}_{\Lambda, \Sigma, h} \rightarrow D_{\Sigma^\perp}/\Gamma_\Sigma$, where Γ_Σ is the stabilizer of Σ in the suitable monodromy group (which may depend on the component of $\mathcal{F}_{\Lambda, \Sigma, h}$). The Noether–Lefschetz r -cycles, as defined above, are the images of the maps $D_{\Sigma^\perp}/\Gamma_\Sigma \rightarrow D_{\Lambda, h}/\Gamma$ for all possible lattices Σ of rank $r + 1$ as above.

One can also combine the two constructions and consider Noether–Lefschetz r -cycles in $D_{\Sigma^\perp}/\Gamma_\Sigma$ (geometrically, these correspond to (Σ, h) -polarized hyperkähler varieties with Picard group of rank at least $\mathrm{rank}(\Sigma) + r$).

The main theorem of [BLMM] mentioned above still applies and implies for example that Noether–Lefschetz divisors span the Picard group of $D_{\Sigma^\perp}/\Gamma_\Sigma$ (and of $\mathcal{F}_{\Lambda, \Sigma, h}$) when $s - \mathrm{rank}(\Sigma) \geq 2$ ([BL, Proposition 4.1.1]; Corollary 2.3).

2.4. Cohomology classes of subvarieties of Shimura varieties. One would like to associate cohomology classes for the special cycles constructed above in the Shimura variety Y_Γ (of orthogonal type $(2, s)$). There are two problems: Y_Γ is not smooth (this does not really matter, because it has finite quotient singularities, so I will not discuss that) and it is not compact. Fortunately, it has a compactification Y_Γ^{BB} with very small boundary (but also very singular).

There are various cohomology theories on Y_Γ (I reproduce here the discussion of [BLMM, Section 2.4]):

- the reduced L^2 -cohomology $\bar{H}_{L^2}^\bullet(Y_\Gamma, \mathbf{C})$;
- the L^2 -cohomology $H_{L^2}^\bullet(Y_\Gamma, \mathbf{C})$;
- the de Rham cohomology $H_{\mathrm{dR}}^\bullet(Y_\Gamma, \mathbf{C})$;
- the intersection cohomology $IH^\bullet(Y_\Gamma, \mathbf{C})$ on Y_Γ^{BB} ;

and maps

$$\alpha: \bar{H}_{L^2}^\bullet(Y_\Gamma, \mathbf{C}) \rightarrow H_{L^2}^\bullet(Y_\Gamma, \mathbf{C}) \rightarrow H_{\mathrm{dR}}^\bullet(Y_\Gamma, \mathbf{C}) \quad \text{and} \quad \beta: H_{L^2}^\bullet(Y_\Gamma, \mathbf{C}) \rightarrow IH^\bullet(Y_\Gamma, \mathbf{C}).$$

For the next theorem, I refer to [BLMM, Example 3.4].

Theorem 2.1. *In degrees $< s - 1$, the maps α and β are isomorphisms and all these groups carry natural (isomorphic) pure Hodge structures.*

Furthermore, Kudla and Millson construct, for special r -cycles, cohomology classes that belong to $\alpha(\bar{H}_{L^2}^{2r}(Y_\Gamma, \mathbf{C})) \subset H_{\mathrm{dR}}^{2r}(Y_\Gamma, \mathbf{C})$. The main theorem of [BLMM] is then the following ([BLMM, Theorem 3.6]).

Theorem 2.2. *For $r < (s + 1)/3$, the subspace $H^{r,r}(Y_\Gamma) \subset H^{2r}(Y_\Gamma, \mathbf{C})$ is defined over \mathbf{Q} and spanned by classes of special r -cycles.*

For $r < s/4$, classes of special r -cycles span the whole of $H^{2r}(Y_\Gamma, \mathbf{Q})$.

Corollary 2.3. *When $s - \mathrm{rank}(\Sigma) \geq 2$, the Picard groups of $D_{\Sigma^\perp}/\Gamma_\Sigma$ and of $\mathcal{F}_{\Lambda, \Sigma, h}$ are spanned by classes of Noether–Lefschetz divisors.*

2.5. Noether–Lefschetz divisors revisited. I will explain here various labellings used for Noether–Lefschetz divisors, in particular in [MP]. The set up is still the same: Λ is a lattice with signature $(2, s)$ with a primitive class h such that $l := h^2 > 0$, we define the period domain $D_{\Lambda, h}$ as in (1), and we work in the quasi-projective quotient $Y_\Gamma := D_{\Lambda, h}/\Gamma$, where $\Gamma \subset \mathrm{O}(\Lambda, h)$ is some arithmetic subgroup.⁴

2.5.1. Noether–Lefschetz divisors of the first type. We consider the rank-2 lattice

$$\mathbb{L}_{m,d} := \begin{pmatrix} l & d \\ d & 2m - 2 \end{pmatrix}$$

with the vector $v := \begin{pmatrix} 1 \\ 0 \end{pmatrix}$. We set

$$\begin{aligned} \Delta(m, d) &:= -\det(\mathbb{L}_{m,d}) = d^2 - 2lm + 2l, \\ \delta(m, d) &:= d \in (\mathbf{Z}/l\mathbf{Z})/\pm 1. \end{aligned}$$

The pair $(\Delta(m, d), \delta(m, d))$ characterizes the isometry class of the pair $(\mathbb{L}_{m,d}, v)$ so we will also write $\mathbb{L}_{\Delta, \delta}$ instead of $\mathbb{L}_{m,d}$. We consider the closure $P_{\Delta, \delta}$ in Y_Γ of the image of

$$\{[\omega] \in D_{\Lambda, h} \mid (\omega^\perp \cap \Lambda, h) \simeq (\mathbb{L}_{\Delta, \delta}, v)\}$$

in Y_Γ (geometrically, points of this image correspond to polarized hyperkähler varieties (X, H) such that $(\mathrm{Pic}(X), H) \simeq (\mathbb{L}_{\Delta, \delta}, v)$). This *Noether–Lefschetz divisor of the first type* is empty unless $\Delta > 0$.

⁴Actually, Maulik and Pandaripandhe work on the moduli space of quasi-polarized K3 surfaces, where Λ is the unimodular K3 lattice and $\Gamma = \mathrm{O}(\Lambda, h) = \tilde{\mathrm{O}}(h^\perp)$ (see [MP, Section 4.3]). I am not sure in which generality this works.

2.5.2. *Noether–Lefschetz divisors of the second type.* Maulik and Pandaripandhe also define another type of Noether–Lefschetz divisors with better formal properties as follows: whenever $\Delta(m, d) > 0$, they define $D_{m,d}$ as the image in Y_Γ of

$$\begin{aligned} & \{[\omega] \in D_{\Lambda,h} \mid \exists \beta \in (\omega^\perp \cap \Lambda, h) \quad \beta \cdot h = d, \beta^2 = 2m - 2\} \\ & = \{[\omega] \in D_{\Lambda,h} \mid (\omega^\perp \cap \Lambda, h) \supset (\mathbb{L}_{m,d}, v)\}. \end{aligned}$$

More precisely, they assign multiplicities as follows:

$$D_{m,d} := \sum_{\Delta,\delta} \mu_{\Delta,\delta} P_{\Delta,\delta},$$

where

$$\mu_{\Delta,\delta} := \text{Card}\{\beta \in \mathbb{L}_{\Delta,\delta} \mid \beta \cdot h = d, \beta^2 = 2m - 2\} \in \{0, 1, 2\}.$$

Note that $\mu_{\Delta,\delta}$ is in $\{0, 1, 2\}$ and that it is 0 unless $0 < \Delta \mid \Delta(m, d)$ (because $\mathbb{L}_{\Delta,\delta} \supset \mathbb{L}_{m,d}$) hence the sum is finite.

2.5.3. *Heegner divisors.* Maulik and Pandaripandhe also use a third notation for Noether–Lefschetz divisors, which are then called Heegner divisors, in [MP, Section 4.3] (see also [BLMM, Section 2.6]). Take $n \in \mathbf{Q}^{>0}$ and $\gamma \in D(h^\perp)$ and consider the image $y_{n,\gamma}$ in Y_Γ of

$$\sum_{x^2=2n, x \equiv \gamma \in D(h^\perp)} D_x$$

(see (5) for the notation D_x). These are divisors in Y_Γ and $y_{n,\gamma} = y_{n,-\gamma}$; the divisor $y_{n,\gamma}$ has multiplicity 2 everywhere whenever $2\gamma \equiv 0$ in $D(h^\perp)$. Moreover, they set $y_{0,\gamma} = 0$ except when $\gamma = 0$, where it is the Hodge bundle.

In the K3 case, these Heegner divisors are exactly the Noether–Lefschetz divisors of the second type (this is [MP, Lemma 3]).

Lemma 2.4. *When Λ is the K3 lattice, one has $D(h^\perp) \simeq \mathbf{Z}/l\mathbf{Z}$ and $D_{m,d} = y_{n,\gamma}$, where*

$$n = -\frac{\Delta(m, d)}{2l} \quad \text{and} \quad \gamma \equiv d \in D(h^\perp) \simeq \mathbf{Z}/l\mathbf{Z}.$$

The proof is elementary.

2.5.4. *Hassett’s Heegner divisors.* Finally, there is also Hassett’s notation. He works on the moduli space of polarized hyperkähler varieties of $K3^{[m]}$ -type and considers those whose Picard group contains a primitive, signature-(1, 1) lattice K containing the polarization. These form algebraic divisors in the moduli space and the Picard group of the hyperkähler variety corresponding to a very general point of this divisor is K . So these seem to be Noether–Lefschetz divisors of the first type. Hassett labels them by the even positive integer $d := -\text{disc}(K^\perp)$ (the idea is that K^\perp can be isomorphic to the primitive lattice of a polarized K3 surface of degree d , which is then said to be associated with the hyperkähler variety).

APPENDIX A. GROUPS OF LATTICE ISOMETRIES

Let Λ be a lattice. The *discriminant group* $D(\Lambda)$ is the finite abelian group Λ^\vee/Λ , where $\Lambda \subset \Lambda^\vee \subset \Lambda_{\mathbf{Q}}$. There is a canonical morphism of groups $O(\Lambda) \rightarrow \text{Aut}(D(\Lambda))$. When Λ is even, the quadratic form of Λ induces a $\mathbf{Q}/2\mathbf{Z}$ -valued quadratic form on $D(\Lambda)$ and this map induces a group morphism

$$\pi: O(\Lambda) \longrightarrow O(D(\Lambda))$$

which is surjective when the rank of Λ is at least the minimal number of generators of the group $D(\Lambda)$, plus 3 ([N, Remark 1.14.3]).

Let (r, s) be the signature of Λ and assume that both r and s are positive. There are surjective morphisms

$$O(r, s) \xrightarrow{\det} \{\pm 1\} \quad , \quad O(r, s) \xrightarrow{\text{spn}} \{\pm 1\},$$

where spn is the *spinor norm*. The kernel of the first morphism is $\text{SO}(r, s)$ and the kernel of the second morphism is denoted by $\text{O}^+(r, s)$. The group $\text{SO}^+(r, s) := \text{SO}(r, s) \cap \text{O}^+(r, s)$ is connected. One has $\det(-\text{Id}) = (-1)^{r+s}$ and $\text{spn}(-\text{Id}) = (-1)^s$.

We define $\text{SO}(\Lambda)$ and $\text{O}^+(\Lambda)$ in an obvious way and we set

$$(6) \quad \widetilde{\text{O}}(\Lambda) := \text{Ker}(\pi) \quad , \quad \widehat{\text{O}}(\Lambda) := \pi^{-1}(\pm \text{Id}).$$

We define similarly $\widetilde{\text{SO}}(\Lambda)$, $\widehat{\text{SO}}(\Lambda)$, $\widetilde{\text{O}}^+(\Lambda)$, $\widehat{\text{O}}^+(\Lambda)$, $\widetilde{\text{SO}}^+(\Lambda)$, $\widehat{\text{SO}}^+(\Lambda)$.

When $r = 2$ and Λ is an even lattice which splits as the orthogonal direct sum $U^{\oplus 2} \oplus M$, the isometry that exchanges the two copies of the hyperbolic plane U and is the identity on M is in $\widetilde{\text{SO}}(\Lambda)$ but not in $\widehat{\text{SO}}^+(\Lambda)$. Therefore, the groups $\widetilde{\text{O}}^+(\Lambda)$, $\widehat{\text{O}}^+(\Lambda)$, $\widetilde{\text{SO}}^+(\Lambda)$, $\widehat{\text{SO}}^+(\Lambda)$ of isometries of spinor norm 1 all have index 2 in the groups $\widetilde{\text{O}}(\Lambda)$, $\widehat{\text{O}}(\Lambda)$, $\text{SO}(\Lambda)$, $\widehat{\text{SO}}(\Lambda)$.

APPENDIX B. KNOWN EXAMPLES OF HYPERKÄHLER VARIETIES

We describe the known deformation types of hyperkähler varieties, their (even) Beauville–Bogomolov–Fujiki lattice Λ , discriminant groups, and monodromy groups. The notation for the various groups of isometries was defined in (6) and $\rho(n)$ is the number of prime factors of the positive integer n (so that $\rho(1) = 0$). A useful reference is [R].

B.1. K3 surfaces. One has ([H, Section 6.3])

$$\begin{aligned} \Lambda &= E_8(-1)^{\oplus 2} \oplus U^{\oplus 3}, \quad \text{signature } (3, 19), \\ D(\Lambda) &= 0, \quad \text{O}(D(L)) = \{1\}, \\ \text{Mon}(\Lambda) &= \text{O}^+(\Lambda). \end{aligned}$$

B.2. Hyperkähler varieties of $K3^{[m]}$ -type, $m \geq 2$. One has ([M1, Lemma 4.2], [M2, Lemma 9.2])

$$\begin{aligned} \Lambda &= E_8(-1)^{\oplus 2} \oplus U^{\oplus 3} \oplus (-(2m-2)), \quad \text{signature } (3, 20), \\ D(\Lambda) &= \mathbf{Z}/(2m-2)\mathbf{Z}, \quad q(\bar{1}) = -\frac{1}{2m-2}, \quad \text{O}(D(L)) = (\mathbf{Z}/2\mathbf{Z})^{\rho(m-1)}, \\ \text{Mon}(\Lambda) &= \widehat{\text{O}}^+(\Lambda), \quad \text{of index } 2^{\max(0, \rho(m-1)-1)} \text{ in } \text{O}^+(\Lambda). \end{aligned}$$

B.3. Hyperkähler varieties of $\text{Kum}^{[m]}$ -type, $m \geq 2$. One has ([Mo])

$$\begin{aligned} \Lambda &= U^{\oplus 3} \oplus (-(2m+2)), \quad \text{signature } (3, 4), \\ D(\Lambda) &= \mathbf{Z}/(2m+2)\mathbf{Z}, \quad q(\bar{1}) = -\frac{1}{2m+2}, \quad \text{O}(D(L)) = (\mathbf{Z}/2\mathbf{Z})^{\rho(m+1)}, \\ \text{Mon}(\Lambda) &\subset \widetilde{\text{O}}^+(\Lambda), \quad \text{of index 2, hence of index } 2^{\rho(m+1)+1} \text{ in } \text{O}^+(\Lambda). \end{aligned}$$

B.4. Hyperkähler varieties of OG10-type. One has ([O]⁵)

$$\begin{aligned}\Lambda &= E_8(-1)^{\oplus 2} \oplus U^{\oplus 3} \oplus \begin{pmatrix} -6 & 3 \\ 3 & -2 \end{pmatrix}, \quad \text{signature } (3, 21), \\ D(\Lambda) &= \mathbf{Z}/3\mathbf{Z}, \quad q(\bar{1}) = -\frac{2}{3}, \quad O(D(L)) = \mathbf{Z}/2\mathbf{Z}, \\ \text{Mon}(\Lambda) &= O^+(\Lambda) = \widehat{O}^+(\Lambda).\end{aligned}$$

B.5. Hyperkähler varieties of OG6-type. One has ([MR])

$$\begin{aligned}\Lambda &= U^{\oplus 3} \oplus (-2)^{\oplus 2}, \quad \text{signature } (3, 5), \\ D(\Lambda) &= (\mathbf{Z}/2\mathbf{Z})^2, \quad q = \begin{pmatrix} -\frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{pmatrix}, \quad O(D(L)) = (\mathbf{Z}/2\mathbf{Z})^2, \\ \text{Mon}(\Lambda) &= O^+(\Lambda) = \widehat{O}^+(\Lambda).\end{aligned}$$

As explained in [H, Corollary 6.3], when $\text{Mon}(\Lambda) = O^+(\Lambda)$, the birational Torelli theorem holds: hyperkähler varieties (of that deformation type) are bimeromorphically isomorphic if and only if their integral Hodge structures are isomorphic.

REFERENCES

- [B] Benoist, O., Construction de courbes sur les surfaces K3 (d’après Bogomolov–Hassett–Tschinkel, Charles, Li–Liedtke, Madapusi Pera, Maulik), *Séminaire Bourbaki 2013/2014*, Exp. n° 1081, *Astérisque* **367–368** (2015), 219–253.
- [BL] Bergeron, N., Li, Z., Tautological classes on moduli spaces of hyper-Kähler manifolds, *Duke Math. J.* **168** (2019), 1179–1230.
- [BLMM] Bergeron, N., Li, Z., Millson, J., Moeglin, C., The Noether–Lefschetz conjecture and generalizations, *Invent. Math.* **208** (2017), 501–552.
- [H] Huybrechts, D., A global Torelli theorem for hyperkähler manifolds (after Verbitsky), *Séminaire Bourbaki 2010/2011*, Exp. n° 1040, *Astérisque* **348** (2012), 375–403.
- [M1] Markman, E., Integral constraints on the monodromy group of the hyperKähler resolution of a symmetric product of a K3 surface, *Internat. J. Math.* **21** (2010), 169–223.
- [M2] Markman, E., A survey of Torelli and monodromy results for holomorphic-symplectic varieties, in *Complex and differential geometry*, 257–322, Springer Proc. Math. **8**, Springer, Heidelberg, 2011.
- [MP] Maulik, D., Pandharipande, R., Gromov–Witten theory and Noether–Lefschetz theory, in *A celebration of algebraic geometry*, 469–507, Clay Math. Proc. **18**, Amer. Math. Soc., Providence, RI, 2013.
- [Mo] Mongardi, G., On the monodromy of irreducible symplectic manifolds, *Algebr. Geom.* **3** (2016), 385–391.
- [MR] Mongardi, G., Rapagnetta, A., Monodromy and birational geometry of OGrady sixfolds, eprint 1909.07173.
- [N] Nikulin, V., Integral symmetric bilinear forms and some of their geometric applications, *Izv. Akad. Nauk SSSR Ser. Mat.* **43** (1979), 111–177. English transl.: *Math. USSR Izv.* **14** (1980), 103–167.
- [O] Onorati, C., On the monodromy group of desingularised moduli spaces of sheaves on K3 surfaces, eprint 2002.04129.
- [R] Rapagnetta, A., On the Beauville form of the known irreducible symplectic varieties, *Math. Ann.* **340** (2008), 77–95.

UNIVERSITÉ DE PARIS, CNRS, IMJ-PRG, F-75013 PARIS, FRANCE

E-mail address: olivier.debarre@imj-prg.fr

⁵The statement made in [Mo] about the monodromy group is wrong.